

Information for the Second Quarter 2009

Securities Listed on NYSE

Summary Statistics:

Non-Directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	100.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant percentage of Total Non-Directed

PERSHING LLC.	100.00%
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Information Concerning Significant Venues:

PERSHING LLC.

Types of orders routed to venue

Market orders as percentage of total market orders	100.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

Options

Summary Statistics:

Non-Directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	10.10%
Limit orders as percentage of total non-directed orders	89.90%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant percentage of Total Non-Directed

CHICAGO BOARD OF OPTIONS EXCHANGE	39.00%
INTERNATIONAL SECURITIES EXCHANGE	25.20%
NYSE ARCA EXCHANGE	17.10%
NASDAQ OMX PHLX	15.20%
NYSE AMEX	1.60%
NASDAQ EXCHANGE	1.40%
BOSTON OPTIONS EXCHANGE	0.50%

Information Concerning Significant Venues:

CHICAGO BOARD OF OPTIONS EXCHANGE

Types of orders routed to venue

Market orders as percentage of total market orders	37.20%
Limit orders as percentage of total limit orders	39.20%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

INTERNATIONAL SECURITIES EXCHANGE

Types of orders routed to venue

Market orders as percentage of total market orders	38.50%
Limit orders as percentage of total limit orders	23.70%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

NYSE ARCA EXCHANGE

Types of orders routed to venue

Market orders as percentage of total market orders	8.10%
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Limit orders as percentage of total limit orders	18.10%
Other orders as percentage of total other orders	0.00%
Material Aspects of Relationship with Venue:	

NASDAQ OMX PHLX

Types of orders routed to venue	
Market orders as percentage of total market orders	8.80%
Limit orders as percentage of total limit orders	15.90%
Other orders as percentage of total other orders	0.00%
Material Aspects of Relationship with Venue:	

NYSE AMEX

Types of orders routed to venue	
Market orders as percentage of total market orders	3.40%
Limit orders as percentage of total limit orders	1.40%
Other orders as percentage of total other orders	0.00%
Material Aspects of Relationship with Venue:	

NASDAQ EXCHANGE

Types of orders routed to venue	
Market orders as percentage of total market orders	3.40%
Limit orders as percentage of total limit orders	1.10%
Other orders as percentage of total other orders	0.00%
Material Aspects of Relationship with Venue:	

BOSTON OPTIONS EXCHANGE

Types of orders routed to venue	
Market orders as percentage of total market orders	0.70%
Limit orders as percentage of total limit orders	0.50%
Other orders as percentage of total other orders	0.00%
Material Aspects of Relationship with Venue:	

2nd Quarter 2009

Listed	Venue	Total Order		Market Order		Limit Order		Other Order	
		Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count
NYSE		100.00%	2	100.00%	2	0.00%	0	0.00%	0
	PERT PERSHING LLC.	100.00%	2	100.00%	2	0.00%	0	0.00%	0
Options		100.00%	1471	10.10%	148	89.90%	1323	0.00%	0
Options	CBOE CHICAGO BOARD OF OPTIONS EXCHANGE	39.00%	574	37.20%	55	39.20%	519	0.00%	0
Options	ISE INTERNATIONAL SECURITIES EXCHANGE	25.20%	370	38.50%	57	23.70%	313	0.00%	0
Options	PCSE NYSE ARCA EXCHANGE	17.10%	252	8.10%	12	18.10%	240	0.00%	0
Options	PBWE NASDAQ OMX PHLX	15.20%	223	8.80%	13	15.90%	210	0.00%	0
Options	ASE NYSE AMEX	1.60%	24	3.40%	5	1.40%	19	0.00%	0
Options	NDAQ NASDAQ EXCHANGE	1.40%	20	3.40%	5	1.10%	15	0.00%	0
Options	BSE BOSTON OPTIONS EXCHANGE	0.50%	8	0.70%	1	0.50%	7	0.00%	0